



# DISCLOSURE OF INVESTOR COMPLAINTS

AS PRESCRIBED BY SECURITIES AND EXCHANGE BOARD OF INDIA

**FinIntent Investment Adviser**

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In order to further enhance transparency in grievance redressal, the Investment Advisers hereby, disclose the details of investor complaints, as mentioned below, on a monthly basis.



A	B	C	D
Complaints Data for the Month	Trend of monthly disposal of complaints	Trend of annual disposal of complaints	Other Details

## A. COMPLAINTS DATA FOR THE MONTH

Month: November 2024

Sr. No.	Received from	Pending at the end of last month	Received	Resolved*	Total pending#	Pending complaints > 3 months	Average Resolution time^ (in days)
1.	Directly from Investors	0	0	0	0	0	0
2.	SEBI (SCORES)	0	0	0	0	0	0
3.	Other Sources (if any)	0	0	0	0	0	0
<b>Grand Total</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

## B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS

Sr. No.	Month	Carried forward from Previous month	Received	Resolved*	Pending#
1.	Apr, 2024	0	0	0	0
2.	May, 2024	0	0	0	0
3.	June, 2024	0	0	0	0
4.	July, 2024	0	0	0	0
5.	August, 2024	0	0	0	0
6.	September, 2024	0	0	0	0
7.	October, 2024	0	0	0	0
<b>Grand Total</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

## C. TREND OF ANNUAL DISPOSAL OF COMPLAINTS

Sr. No.	Year	Carried forward from Previous year	Received	Resolved*	Pending#
1.	2018-19	0	0	0	0
2.	2019-20	0	0	0	0
3.	2020-21	0	1	1	0

4.	2021-22	0	0	0	0
5.	2022-23	0	0	0	0
6.	2023-24	0	0	0	0
<b>Grand Total</b>		<b>0</b>	<b>1</b>	<b>1</b>	<b>0</b>

\*Inclusive of complaints of previous years resolved in the current year.

#Inclusive of complaints pending as on the last day of the year.

## D. GRIEVANCE REDRESSAL MECHANISM

### Level-1: Lodge complaint with the Investment Adviser directly

- In case of any grievance / complaint against us, you shall contact the following persons:
  - Ravi Natarajan, Mobile: 9004030911/ 9833726512, Email: [ravi@finintent.com](mailto:ravi@finintent.com)
  - Bedanta Sahoo, Mobile: 9833152414, Email: [bedanta@finintent.com](mailto:bedanta@finintent.com)
- You may note our Registered Address: Office No.101, Keval Towers, B.J.Patel Road, Liberty Garden, Malad west, Mumbai 400064
- You can also reach out to us through our website at: <https://www.finintent.com/>

Note: In case of any delay beyond 15 days in resolving your grievance as received above, we shall communicate the same to you and coordinate.

### Level-2: Lodge complaint with SEBI / SCORES

- In case, you are not satisfied with our response, you can lodge your grievance with SEBI at website for SEBI Complaints Redress System (SCORES) <https://scores.gov.in/>
- Download SCORES Android mobile app: [Click here](#)
- Download SCORES Apple mobile app: [Click here](#)

Note: You may also write to any of the offices of SEBI. For any queries, feedback or assistance, please contact SEBI Office on Toll Free Helpline at 1800 22 7575 / 1800 266 7575.

You may note the following SEBI office addresses:

SEBI Head Office:	The General Manager, Investment Management Department Securities and Exchange Board of India (SEBI) - SEBI Bhavan, Plot No. C4-A, G Block, Near Bank of India, Bandra Kurla Complex, Bandra East, Mumbai, Maharashtra - 400051 Website: <a href="http://www.sebi.gov.in">www.sebi.gov.in</a>
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### Level-3: Access to Online Dispute Resolution (ODR)

Customers could initiate dispute resolution through the ODR Portal if a grievance lodged with us and through SCORES has not been satisfactorily resolved.

- SEBI Circular SEBI/HO/OIAE/OIAE\_IAD-1/P/CIR/2023/131 dt. July 31, 2023: [Click here](#)
- Securities Market Approach for Resolution Through ODR Portal: [SMART ODR Portal](#)