



DISCLOSURE OF INVESTOR COMPLAINTS

As prescribed by Securities And Exchange Board of India (SEBI) Circular No. SEBI/HO/MIRSD/MIRSD-PoD/P/CIR/2025/80 dt. 02-06-2025

FinIntent Investment Adviser

Data for the Month ending: September 2025

Published 06-Oct-2025

In order to further enhance transparency in grievance redressal, the Investment Advisers hereby, disclose the details of investor complaints, as mentioned below, on a monthly basis.



| A | В | С |
|----------------------------------|-----------------------------------------|----------------------------------------|
| Complaints Data for the Month | Trend of Monthly disposal of Complaints | Trend of Annual disposal of Complaints |



A. COMPLAINTS DATA FOR THE MONTH

| Sr. No. | Received from | Pending at the end of last month | Received | Resolved* | Total pending # | Pending complaints > 3 months | Average Resolution time^ (in days) |
|------------|------------------------------|----------------------------------------------|----------|-----------|-----------------------|-------------------------------|---------------------------------------------|
| 1. | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2. | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3. | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| Grai | nd Total | 0 | 0 | 0 | 0 | 0 | 0 |

| Number of complaints received during month against the Investment Adviser (IA) | 0 |
|--------------------------------------------------------------------------------|---|
| due to impersonation by some other entity | U |

^{*} Inclusive of complaints of previous months resolved in the current month.

B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS

| Sr. No. | Month | Carried forward from Previous month | Received | Resolved* | Pending# |
|-------------|-----------------|----------------------------------------|----------|-----------|----------|
| 1. | Apr, 2025 | 0 | 0 | 0 | 0 |
| 2. | May, 2025 | 0 | 0 | 0 | 0 |
| 3. | June, 2025 | 0 | 0 | 0 | 0 |
| 4. | July, 2025 | 0 | 0 | 0 | 0 |
| 5. | August, 2025 | 0 | 0 | 0 | 0 |
| 6. | September, 2025 | 0 | 0 | 0 | 0 |
| Grand Total | | 0 | 0 | 0 | 0 |

^{*} Inclusive of complaints of previous months resolved in the current month.

[#] Inclusive of complaints pending as on the last day of the month

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

[#] Inclusive of complaints pending as on the last day of the month.



C. TREND OF ANNUAL DISPOSAL OF COMPLAINTS

| Sr. No. | Year | Carried forward from Previous year | Received | Resolved* | Pending# |
|-------------|----------|---------------------------------------|----------|-----------|----------|
| 1. | 2021-22 | 0 | 0 | 0 | 0 |
| 2. | 2022-23 | 0 | 0 | 0 | 0 |
| 3. | 2023-24 | 0 | 0 | 0 | 0 |
| 4. | 2024-25 | 0 | 0 | 0 | 0 |
| 4. | 2025-26^ | 0 | 0 | 0 | 0 |
| Grand Total | | 0 | 0 | 0 | 0 |

^{*} Inclusive of complaints of previous years resolved in the current year. # Inclusive of complaints pending as on the last day of the year. ^ Financial Year in progress until the end of current month.