



GRIEVANCE REDRESSAL AND DISPUTE SETTLEMENT MECHANISM

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FinIntent Investment Adviser

INITIAL REPORTING OF GRIEVANCES

Level-1: Lodge complaint with the Investment Adviser directly

In case of any grievance / complaint against us, you shall contact the following persons:

Details of designation	Contact person	Address	Mobile	Email	Working hours
Compliance Officer	Ravi Natarajan	Refer Note^	9004030911	ravi@fininten t.com	10 AM to 6 PM
Principal Officer	Bedanta Sahoo	Refer Note^	9833152414	bedanta@fini ntent.com	10 AM to 6 PM

^ Note:

RegisteredOffice:	P-1701, 17th floor, Marina Enclave, Jan Kalyan Nagar, Malad (W), Mumbai – 400095
• Correspondence Office:	BloomDesk 2.0: 204, Neelkanth CHS, S.V.Road, Borivali (W), Mumbai – 400092

You can also reach out to us through our website at: https://www.finintent.com/

We shall strive to redress the grievance immediately, but not later than 21 days of the receipt of the grievance.

ESCALATION OF GRIEVANCES

Level-2: Lodge complaint with SEBI/IAASB/SCORES

In case, you are not satisfied with our response, you can lodge your grievance with SEBI at website for SEBI Complaints Redress System (SCORES) https://scores.sebi.gov.in

SCORES is also accessible as Mobile Application – <u>Google Play</u> / <u>App Store</u>



First level review for complaint/grievance is done by the designated body (IAASB) – currently BSE and Second review is done by SEBI.

You can also Email to the designated email ID of IAASB - currently BSE.

For any queries, feedback or assistance, you may contact SEBI Office on Toll Free Helpline at 1800 22 7575

In caes of physical complaints, investors may send their complaints to:

Office of Investor Assistance and Education

Securities and Exchange Board of India SEBI Bhavan, Plot No. C4-A, 'G' Block, Bandra-Kurla Complex, Bandra (E), Mumbai – 400051

DISPUTE SETTLEMENT

Level-3: Access to Online Dispute Resolution (ODR)

Investors may approach for resolution through online conciliation or arbitration, through SMART ODR Portal, if a grievance lodged with us and through SCORES has not been satisfactorily resolved.

Ref. SEBI Circular SEBI/HO/OIAE/OIAE_IAD-1/P/CIR/2023/131 dt. July 31, 2023: <u>Click here</u> Securities Market Approach for Resolution Through ODR Portal: <u>SMART ODR Portal</u>